



2663  
#11  
5203

**PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**RECEIVED**

In re application of

Docket No: Q53866

MAY 01 2003

Akihisa USHIROKAWA, et al.

Technology Center 2600

Appln. No.: 09/287,570

Group Art Unit: 2663

Confirmation No.: 6456

Examiner: Ronald B. ABELSON

Filed: April 6, 1999

For: MOBILE COMMUNICATION SYSTEM, COMMUNICATION CONTROL METHOD, AND  
BASE STATION AND MOBILE STATION TO BE EMPLOYED IN THE SAME

**SUBMISSION OF REFERENCES**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant submits herewith one Canadian reference, which was cited in a Canadian Office Action (copy enclosed) issued in the corresponding Canadian patent application, to be made of record in the subject application. This Canadian references (2,267,841) correspond to United States Patent No. 5,896,638, which was cited by the Examiner in the May 17, 2002 Office Action.

Respectfully submitted,

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

Howard L. Bernstein  
Registration No. 25,665

WASHINGTON OFFICE



23373

PATENT TRADEMARK OFFICE

Date: April 29, 2003



Office de la propriété  
intellectuelle  
du Canada

Un organisme  
d'Industrie Canada  
www.opic.gc.ca

Canadian  
Intellectual Property  
Office

An Agency of  
Industry Canada  
www.cipo.gc.ca



March 6, 2003

RECEIVED

MAY 01 2003

Technology Center 2600

**G. RONALD BELL & ASSOCIATES**  
P.O. Box 2450  
Postal Station D  
OTTAWA Ontario  
K1P 5W6

**Application No. : 2,267,841**  
**Owner : NEC CORPORATION**  
**Title : MOBILE COMMUNICATION USING CONTROL SIGNAL IN VACANT SLOT**  
**Classification : H04Q-7/30**  
**Your File No. : 3372-003C**  
**Examiner : Andrew Strong**

IN ACCORDANCE WITH SUBSECTION 30(2) OF THE PATENT RULES, YOU ARE HEREBY NOTIFIED OF A REQUISITION BY THE EXAMINER. IN ORDER TO AVOID ABANDONMENT UNDER PARAGRAPH 73(1)(A) OF THE PATENT ACT, A WRITTEN REPLY MUST BE RECEIVED WITHIN 4 MONTHS AFTER THE ABOVE DATE.

This application has been examined taking into account applicant's correspondence dated October 4, 2002.

The number of claims in this application is 86.

A search of the prior art has revealed the following:

Reference Applied:

Patent Document:			
CA2252382	October 30, 1997	H04B-1/69	Dahlman et al.
	(corresponds to US5896368)		

Dahlman et al teach the use of compressed mode transmissions for CDMA communication, wherein usage of the Idle period (vacant period) created includes using it for communicating by transmitting signals to other base stations.

Claims 1, 23, 44, 66, and 67 do not comply with Section 28.3 of the Patent Act. The subject matter of these claims would have been obvious on the claim date to a person skilled in the art or science to which they pertain having regard to Dahlman et al. Specifically, the broad claims lack essential inventive matter to limit the claims to the disclosed methods and apparatus, namely that the control signal is not generally for communication quality maintenance, but actually transmission power control information to maintain the communication quality. The broad claims presently read on Dahlman et al. in that the prior art patent teaches the

Canada

OPIC  CIP O

transmission of signals during the idle time period, which any technician in the art would know could be used to maintain communication quality, such as in soft-handover, an example given by Dahlman et al. As the Applicant has really discovered the use of inserting transmission power control information during the prior art idle/vacant period in known compressed mode transmission, the Applicant must define this invention in the broad claims, and in doing so overcome the reading on the prior art. For instance, the matter of claim 9 amended with claim 1 would define the actual inventive limitations as disclosed. Otherwise, the broad claims identified remain obvious in light of the prior art.

In view of the foregoing defects, the applicant is requisitioned to amend the application in order to comply with the Patent Act and the Patent Rules or to provide arguments as to why the application does comply.

Under Section 34 of the Patent Rules, any amendment made in response to this requisition must be accompanied by a statement explaining the nature thereof, and how it overcomes each of the above objections.

Andrew Strong  
Examiner  
(819) 994-8691

OPIC

OFFICE DE LA PROPRIÉTÉ  
INTELLECTUELLE DU CANADA



CIPO

CANADIAN INTELLECTUAL  
PROPERTY OFFICE

(12) (19) (CA) **Demande-Application**



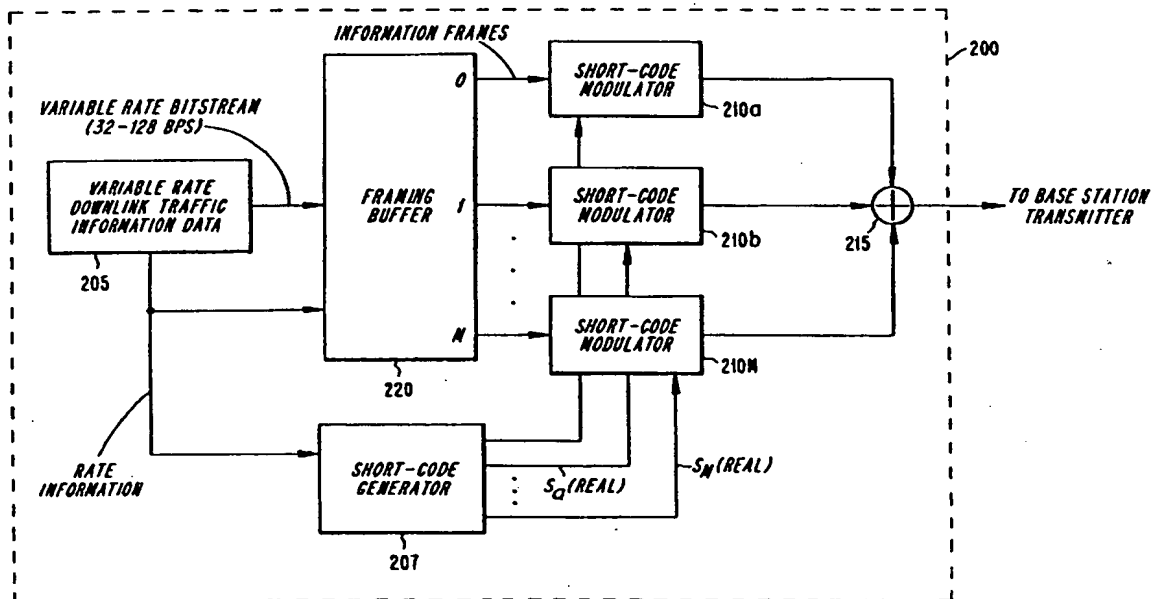
(21) (A1) **2,252,382**  
(86) 1997/04/16  
(87) 1997/10/30

(72) DAHLMAN, Erik Bengt Lennart, SE  
(72) EWERBRING, Lars-Magnus, SE  
(72) GRIMLUND, Olof Erik, SE  
(72) WILLARS, Per Hans Ake, SE  
(71) TELEFONAKTIEBOLAGET LM ERICSSON, SE  
(51) Int.Cl.<sup>6</sup> H04B 1/69, H04Q 7/22, H04B 7/216  
(30) 1996/04/23 (08/636,648) US  
(54) **SYSTEMES EN MODE AMCR-SD A COMPRESSION MULTI-CODEE, ET PROCEDES ASSOCIES**  
(54) **MULTI-CODE COMPRESSED MODE DS-CDMA SYSTEMS AND METHODS**

**RECEIVED**

**MAY 01 2003**

Technology Center 2600



(57) L'invention porte sur l'introduction de transmissions discontinues dans des techniques de télécommunications par utilisation sélective de codes additionnels d'étalement des trames de données. En divisant une trame en deux parties ou plus et en étalant chacune d'elles à l'aide d'un code d'étalement différent, on peut émettre la trame en mode comprimé, l'information n'étant ainsi émise que pendant une partie de la période de la trame, ce qui laisse une partie inoccupée de la trame pour d'autres fonctions telles que l'évaluation d'autres fréquences pour les commutations entre fréquences.

(57) Introduction of discontinuous transmission in CDMA communications techniques is achieved by selectively using additional spreading codes for spreading a frame of data. By dividing a frame into two or more portions and spreading each portion with a different spreading code, the frame can be transmitted in a compressed mode wherein the information is transmitted during a portion of the frame period, leaving an idle part of the frame in which to perform other functions, such as evaluation of other frequencies for use in handover between frequencies.



Industrie Canada Industry Canada

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>H04B 7/26, H04Q 7/38, H04B 1/707</b>		<b>A1</b>	(11) International Publication Number: <b>WO 97/40592</b>
			(43) International Publication Date: <b>30 October 1997 (30.10.97)</b>
(21) International Application Number: <b>PCT/SE97/00645</b>		(81) Designated States: <b>AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</b>	
(22) International Filing Date: <b>16 April 1997 (16.04.97)</b>		<b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>	
(30) Priority Data: <b>08/636,648                      23 April 1996 (23.04.96)                      US</b>			
(71) Applicant: <b>TELEFONAKTIEBOLAGET LM ERICSSON (publ) [SE/SE]; S-126 25 Stockholm (SE).</b>			
(72) Inventors: <b>DAHLMAN, Erik, Bengt, Lennart; Tackjärnsvägen 12, S-161 31 Bromma (SE). EWERBRING, Lars-Magnus; Norr Mälarstrand 70, S-112 35 Stockholm (SE). GRIM-LUND, Olof, Erik; Hagavägen 6, 4 tr, S-171 53 Solna (SE). WILLARS, Per, Hans, Åke; Rindögatan 19, S-115 36 Stockholm (SE).</b>			
(74) Agents: <b>BANDELIN, Hans et al.; Telefonaktiebolaget LM Ericsson, Patent and Trademark Dept., S-126 25 Stockholm (SE).</b>			
(54) Title: <b>MULTI-CODE COMPRESSED MODE DS-CDMA SYSTEMS AND METHODS</b>			
(57) Abstract			
<p>Introduction of discontinuous transmission in CDMA communications techniques is achieved by selectively using additional spreading codes for spreading a frame of data. By dividing a frame into two or more portions and spreading each portion with a different spreading code, the frame can be transmitted in a compressed mode wherein the information is transmitted during a portion of the frame period, leaving an idle part of the frame in which to perform other functions, such as evaluation of other frequencies for use in handover between frequencies.</p>			

-1-

## MULTI-CODE COMPRESSED MODE DS-CDMA SYSTEMS AND METHODS

### BACKGROUND

5       The present invention relates to the use of Code Division Multiple Access (CDMA) communications techniques in cellular radio telephone communication systems, and more particularly, to a method and system related to handover of connections between frequencies using non-continuous Direct Sequence-Code Division Multiple Access (DS-CDMA) transmissions.

10       DS-CDMA is on type of spread spectrum communications. Spread spectrum communications have been in existence since the days of World War II. Early applications were predominantly military oriented. However, today there has been an increasing interest in using spread spectrum systems in commercial applications. Some examples include digital cellular radio, land mobile radio, satellite systems and  
15 indoor and outdoor personal communication networks referred to herein collectively as cellular systems.

      Currently, channel access in cellular systems is achieved using Frequency Division Multiple Access (FDMA) and Time Division Multiple Access (TDMA) methods. In FDMA, a communication channel is a single radio frequency band into  
20 which a signal's transmission power is concentrated. Interference with adjacent channels is limited by the use of band pass filters which pass substantial signal energy only within the specified frequency band. Thus, with each channel being assigned a different frequency band, system capacity is limited by the number of available frequency bands as well as by limitations imposed by frequency reuse.

25       In TDMA systems which do not employ frequency hopping, a channel consists of a time slot in a periodic train of time intervals over the same frequency band. Each period of time slots is called a frame. A given signal's energy is confined to one of these time slots. Adjacent channel interference is limited by the use of a time gate or other synchronization element that passes signal energy received at the proper  
30 time. Thus, the problem of interference from different relative signal strength levels is reduced.

-2-

With FDMA or TDMA systems (or hybrid FDMA/TDMA systems), one goal is to insure that two potentially interfering signals do not occupy the same frequency band at the same time. In contrast, Code Division Multiple Access (CDMA) is an access technique which uses spread spectrum modulation to allow signals to overlap in both time and frequency. There are a number of potential advantages associated with CDMA communication techniques. The capacity limits of CDMA-based cellular systems are projected to be higher than that of existing analog technology as a result of the properties of wideband CDMA systems, such as improved interference diversity and voice activity gating.

10 In a CDMA system the data stream to be transmitted (i.e., a symbol stream which has undergone channel encoding etc.) is impressed upon a much higher rate data stream known as a signature sequence. Typically, the signature sequence data (commonly referred to as "chips") are binary or quaternary, providing a chip stream which is generated at a rate which is commonly referred to as the "chip rate". One  
15 way to generate this signature sequence is with a pseudo-noise (PN) process that appears random, but can be replicated by an authorized receiver. The symbol stream and the signature sequence stream are combined by multiplying the two streams together, assuming the binary values of the two streams are represented by +1 or -1. This combination of the signature sequence stream with the symbol stream is called  
20 spreading the symbol stream signal. Each symbol stream or channel is typically allocated a unique spreading code. The ratio between the chip rate and the symbol rate is called the spreading ratio.

A plurality of spread signals modulate a radio frequency carrier, for example by quadrature phase shift keying (QPSK), and are jointly received as a composite  
25 signal at a receiver. Each of the spread signals overlaps all of the other spread signals, as well as noise-related signals, in both frequency and time. If the receiver is authorized, then the composite signal is correlated with one of the unique codes, and the corresponding signal can be isolated and decoded.

For future cellular systems, the use of hierarchical cell structures will prove  
30 valuable in even further increasing system capacity. In hierarchical cell structures, smaller cells or micro cells exist within a larger cell or macro cell. For instance,

-3-

micro cell base stations can be placed at a lamp post level along urban streets to handle the increased traffic level in congested areas. Each micro cell might cover several blocks of a street or a tunnel, for instance while a macro cell might cover a 3-5 Km radius. Even in CDMA systems, the different types of cells (macro and micro) will operate at different frequencies so as to increase the capacity of the overall system. See, H. Eriksson et al., "Multiple Access Options For Cellular Based Personal Comm.," Proc. 43rd Vehic. Tech. Soc. Conf., Secaucus, 1993. Reliable handover procedures must be supported between the different cell types, and thus between different frequencies so that mobile stations which move between cells will have continued support of their connections.

There are several conventional techniques for determining which new frequency and cell should be selected among plural handover candidates. For example, the mobile station can aid in the determination of the best handover candidate (and associated new base station) to which communications are to be transferred. This process, typically referred to as mobile assisted handover (MAHO), involves the mobile station periodically (or on demand) making measurements on each of several candidate frequencies to help determine a best handover candidate based on some predetermined selection criteria (e.g., strongest received RSSI, best BER, etc.). In TDMA systems, for example, the mobile station can be directed to scan a list of candidate frequencies during idle time slot(s), so that the system can determine a reliable handover candidate if the signal quality on its current link degrades beneath a predetermined quality threshold.

In conventional CDMA systems, however, the mobile station is continuously occupied with receiving information from the network. In fact, CDMA mobile stations normally continuously receive and transmit in both uplink and downlink directions. Unlike TDMA, there are no idle time slots available to switch to other carrier frequencies, which creates a problem when considering how to determine whether handover to a given base station on a given frequency is appropriate at a particular instant. Since the mobile station cannot provide any inter-frequency measurements to a handover evaluation algorithm operating either in the network or the mobile station, the handover decision will be made without full knowledge of the



-4-

interference situation experienced by the mobile station, and therefore can be unreliable.

One possible solution to this problem is the provision of an additional receiver in the mobile unit which can be used to take measurements on candidate frequencies.

- 5 Another possibility is to use a wideband receiver which is capable of simultaneously receiving and demodulating several carrier frequencies. However, these solutions add complexity and expense to the mobile unit.

- 10 In the parent patent application to Willars et al., this problem is addressed by introducing discontinuous transmission into CDMA communications techniques. For example, a compressed transmission mode is provided using a lower spreading ratio (i.e., by decreasing the number of chips per symbol) such that with a fixed chip rate the spread information only fills a part of a frame. This leaves part of each frame, referred to therein as an idle part, during which the receiver can perform other functions, such as the evaluation of candidate cells at other frequencies for purposes  
15 of handover.

- This solution is readily applicable to CDMA systems wherein non-orthogonal code words are used to spread the information data sequence. In these types of systems, commonly referred to as "long code" systems, one signature sequence is much longer than one symbol (often billions of symbols long). Since these codes are  
20 non-orthogonal to begin with, temporarily changing the spreading ratio of one or several channels to provide compressed mode transmissions does not create extra inter-code interference.

- The solution proposed in the parent application becomes problematic, however, for DS-CDMA systems where orthogonal code words are used to spread  
25 data streams. In so-called "short" code systems, a short code set (e.g., including 128 codes of length 128 chips) is chosen so that all codes are orthogonal to each other over one symbol interval, i.e., over the length of the code. If the spreading factor is altered for a transmissions in the downlink to a user in this type of system, that user's code would no longer be orthogonal to the other users over a symbol interval. This,  
30 in turn, would create potentially undesirable inter-channel interference.

-5-

Accordingly, it would be desirable to provide a DS-CDMA system in which transmission and reception was discontinuous but which did not rely on a reduction in the spreading ratio to provide idle time for the receiver to measure on different frequencies.

5

### SUMMARY

Introduction of discontinuous transmission in CDMA communications techniques is achieved by selectively using additional spreading codes to spread information within a frame. For example, in a system which normally uses one spreading code to spread data over the entire frame period, two or more codes can be used in a compressed transmission mode. By using two or more codes to spread the data stream associated with a frame, the coded information only fills an information part of a frame in a compressed mode, leaving an idle part of the frame in which to perform other functions, such as evaluation of other frequencies for use in handover between frequencies.

15

According to one exemplary embodiment of the present invention, compressed mode transmission can be accomplished by dividing a frame's worth of data into two or more portions. Each portion can then be sent to a different short code modulator, wherein it is modulated and spread with a different code.

20

Alternatively, the provision of multiple codes can occur within the short code modulator. A mode control device can, for example, determine whether a frame output from a modulator is processed according to a normal mode of transmission or a compressed mode of transmission. If processed according to the compressed mode, the modulated frame output is divided into two or more portions. Each portion is spread using a different code. By using one or more additional codes for a frame of data, the time it takes to transmit that frame is reduced, leaving an idle period during which the receiver of a mobile station can tune to one or more different frequencies to take measurements. These measurements can then be used to implement handovers according to known techniques.

25

30

-6-

**BRIEF DESCRIPTION OF THE DRAWINGS**

The foregoing, and other, features, objects and advantages of the present invention will become apparent from the detailed description set forth below when read in conjunction with the drawings, in which:

- 5        **FIG. 1** is a schematic illustration of a cellular radio communications system;  
      **FIG. 2A** is a schematic illustration of a downlink traffic information processor in accordance with the present invention;  
      **FIG. 2B** is a schematic illustration of a short-code modulator in accordance with one embodiment of the present invention;  
10       **FIG. 2C** is a schematic illustration of a base station transmitter in accordance with one exemplary embodiment of the present invention;  
      **FIGS. 3A and 3B** are examples of a normal mode transmission and a compressed mode transmission, respectively, during four frames; and  
      **FIG. 4** is a block diagram of an alternate embodiment of the short-code  
15       modulator which can provide both normal mode and compressed mode transmissions.

**DETAILED DESCRIPTION**

- In the following description, for purposes of explanation and not limitation, specific details are set forth, such as particular circuits, circuit components,  
20       techniques, etc. in order to provide a thorough understanding of the invention. For example, various details are provided relating to exemplary modulation and transmitting techniques. However it will be apparent to one skilled in the art that the present invention may be practiced in other embodiments that depart from these specific details. In other instances, detailed descriptions of well-known methods,  
25       devices, and circuits are omitted so as not to obscure the description of the present invention with unnecessary detail.

- An exemplary cellular radio communication system 100 is illustrated in FIG. 1. As shown in FIG. 1, a geographic region served by the system is subdivided into a number,  $n$ , of smaller regions of radio coverage known as cells 110a- $n$ , each cell  
30       having associated with it a respective radio base station 170a- $n$ . Each radio base station 170a- $n$  has associated with it a plurality of transmit and receive radio antennas

-7-

130a-n. Note that the use of hexagonal-shaped cells 110a-n is employed as a graphically convenient way of illustrating areas of radio coverage associated with a particular base station 170a-n. In actuality, cells 110a-n may be irregularly shaped, overlapping, and not necessarily contiguous. Each cell 110a-n may be further subdivided into sectors according to known methods. Distributed within cells 110a-n are a plurality, m, of mobile stations 120a-m. In practical systems the number, m, of mobile stations is much greater than the number, n, of cells. Base stations 170a-n comprise *inter alia* a plurality of base station transmitters and base station receivers (not shown) which provide two-way radio communication with mobile stations 120a-m located within their respective calls. As illustrated in FIG. 1, base stations 170a-n are coupled to the mobile telephone switching office (MTSO) 150 which provides *inter alia* a connection to the public switched telephone network (PSTN) 160 and henceforth to communication devices 180a-c. The cellular concept is known to those skilled in the art and, therefore, is not further described here.

According to the present invention radio communications between the base stations and the mobile stations are effected using direct sequence code division multiple access (DS-CDMA). In the following, the term downlink, or forward channel, refers to the radio transmission of information bearing signals from base stations 170a-n to mobile stations 120a-m. Similarly, the term uplink, or reverse channel, refers to the radio transmission of information bearing signals from mobile stations 120a-m to base stations 170a-n.

Today, radio communication systems are being used for an ever increasing array of applications. Traditional voice communications now coexist with the radio transmission of images, and a mix of other medium and high speed data applications. Such applications require a radio channel capable of conveying a variable mix of low, medium, and high bit rate information signals with a low transmission delay. To make efficient use of the radio spectrum, only that bandwidth which is needed for a particular application should be allocated. This is known as "bandwidth on demand." Accordingly, the following exemplary systems describe a multi-rate, DS-CDMA system.

-8-

**Downlink**

FIG. 2A illustrates a schematic block diagram of a downlink traffic information processor 200. Downlink traffic information processor 200 is part of the base station transmitter. Each downlink connection requires the resources of at least one downlink traffic information processor 200. A base station which is dimensioned to supply a number K of simultaneous downlink connections should have at least an equal number K of downlink traffic information processors 200. Referring to FIG. 2A, variable rate downlink traffic information data 205 such as, for example, speech or image information originating from an information source (not shown), is received by framing buffer 220 in the form of a variable rate digital bitstream. The information source may be, for example, an ordinary telephone 180a, a computer 180b, a video camera 180c, or any other suitable information source which is linked via PSTN 160 to MTSO 150, or to MTSO 150 directly, and henceforth coupled to base stations 170a-n according to known methods.

The bitrate (i.e., number of kilobits per second (kbps)) of the variable rate bitstream received by framing buffer 220 is dependent upon the type or amount of information to be transmitted to mobile stations 120a-m. The bitrate may be defined by a

$$\text{Bitrate} = (\text{BasicBitrate}) * k; k = 0,1,2,...N$$

where (Basic Bitrate) \*N is the maximum bitrate.

In an exemplary embodiment having a Basic Bitrate of 32 kbps and an information frame time interval of 10 ms, each information frame comprises 320 bits. For bitrates higher than 32 kbps, more than one information frame per 10 ms time interval is produced. Suppose, for example, that the bitrate is 128 kbps. Then, four information frames, each comprising 320 bits, are produced for each 10 ms time interval. In general, the number M of information frames is the same as the number k of multiples of the Basic Bitrate.

-9-

Referring again to FIG. 2A, each information frame is coupled to one of a plurality of so-called short-code modulators 210a-M for subsequent processing. The number M of short-code modulators 210a-M is equal to the number N of possible multiples of the Basic Bitrate. According to the first exemplary embodiment of the present invention, when the received information data bitrate is the Basic Bitrate (e.g., 32 kbps) only one information frame is produced for each 10ms time interval which is coupled to short-code modulator 210a. When the received variable rate bitstream is two times the Basic Bitrate (i.e., 64 kbps) two information frames are produced for each 10ms time interval: one information frame is coupled to short-code modulator 210a and the other information frame is coupled to short-code modulator 210b. Similarly, higher received variable rate bitstream produce a greater number of information frames per predetermined time interval. Each information frame resulting from high bitrate information data is separately coupled to a separate short-code modulator resulting in a plurality of so-called parallel short-code channels.

Arranging the information data bitstream into a sequence of information frames allows the information data to be processed conveniently in short-code modulators 210a-M. Referring now to Figure 2B, a schematic illustration of the short-code modulators 210a-M, is generally shown as 210. Prior to channel coding in convolutional encoder 230, the first overhead bits ( $X_1$ ) comprising, for example, a portion of the cyclic redundancy check (CRC) bits are added to the information frame in time multiplexer 220. The frame comprising the information bits and the first overhead bits is coupled to convolutional encoder 230 and subjected to channel coding using, for example, a rate 1/3 convolutional encoder which adds redundancy to the frame. The encoded frame is then coupled to bit interleaver 240 where the encoded frame is subjected to block-wise bit interleaving. After interleaving, the second overhead bits  $X_2$  are added to the encoded and interleaved frame in time multiplexer 250. Downlink power control bits are also added to the encoded/interleaved frame in time multiplexer 260. The downlink power control bits instruct the mobile station to increase or decrease its transmitted power level. After the insertion of the power control bits, each frame is coupled to quadrature phase shift keying (QPSK) modulator 270. Those skilled in the art will appreciate that modulations other than QPSK could

-10-

also be used. QPSK modulator 280 maps the input bits, or symbols, into a sequence of complex symbols. The output of QPSK modulator is a complex sequence of symbols represented by, for example, Cartesian coordinates in the usual form  $I + jQ$ . Spreading of the output of the QPSK modulator is performed using so-called short-codes at block 280. Other encoding, interleaving, and modulation combinations are possible.

### Short-Codes

Referring back to Figure 1, each radio base station 170a-n transmits a unique downlink signal to enable mobile terminals 120a-m to separate the signals transmitted in adjacent cells or adjacent sectors (i.e., inter-cell signals) from the downlink signals received in the cell where the mobile terminal is located. Further, signals transmitted to individual mobile terminals in a particular cell, are orthogonal to one another to separate the signals of multiple mobile stations 120a-m operating in the same cell (i.e., intra-cell signals). According to the present invention, downlink transmissions to multiple users in the same cell, or same sector, are separated by spreading the modulated signal with different orthogonal short-codes.

Parallel short-code channels representing a high bitrate signal are separated from each other in the same way downlink traffic signals to mobile terminals operating in the same cell are separated, namely by assigning different short codes  $S_m(\text{real})$  to each parallel CDMA channel.

In one embodiment, the short orthogonal codes are real-valued orthogonal Gold codes with a length of one symbol interval. For example, with a 120 kbps total bit rate (60 kbps on each quadrature branch) and a chip rate of 7.68 Mcps, the code length is 128 chips. Orthogonal Gold codes are ordinary Gold codes of length  $2^n - 1$ , where a zero (or one) is added to the end of all code words producing  $2^n$  orthogonal code words, each of length  $2^n$ . Gold codes are known to those of skilled in the art. Referring again to Figure 2A, the Gold codes are provided by short-code generator 207 to each of the short-code modulators 210a-M. Although only one signal line is illustrated between short-code generator 207 and each short-code modulator 210, according to exemplary embodiments of the present invention, plural sets of short

-11-

codes can be supplied to each modulator 210 to create discontinuous transmission as described below. The output of each short-code modulator 210a-M is coupled to adder 215 where the individually spread signals of each information frame are formed into a single composite signal.

5

### Long-Codes

Referring now to Figure 2C, the composite signals from each downlink traffic information processor 200A-K, are coupled to base station transmitter 150. The signals from each downlink traffic information processor are added in block 290. In order to separate downlink signals transmitted from different base stations, each base station 170a-n is assigned a unique long code. In one embodiment of the present invention the long code may be complex-valued: for example, an ordinary Gold code of length  $2^{41}-1$  chips. After scrambling (at blocks 300 and 302) the composite signal with the long-codes, the signal is generated by long code generator 285 filtered converted (blocks 308, 310), summed (block 312), amplified and transmitted according to known techniques.

10

15

### Discontinuous Transmission

Normally in CDMA systems, information is transmitted in a structure of frames with fixed length, e.g., 5-20 ms. Information to be transmitted within a frame is coded and spread together. This information is spread over each frame, resulting in continuous transmission during the whole frame at a constant power level, as shown for example in FIG. 3A. This type of full frame, continuous transmission is denoted herein as "normal mode transmission".

20

25

As described above, the present invention introduces discontinuous transmission into CDMA systems for, e.g., reliable handover candidate evaluation. According to exemplary embodiments of the present invention, this is achieved by using additional spreading codes to transmit a frame of data. This results in coded information which is compressed into a portion of a frame, leaving a residual, idle interval in which no power is transmitted, as shown in FIG. 3B. This is referred to

30



-12-

herein as "compressed mode transmission". An illustrative example will serve to further explain how idle intervals can be created according to the present invention.

According to one exemplary embodiment of the present invention, additional spreading codes can be used to transmit a frame of data by using more than one short-code modulator 210 to transmit each frame of data. For example, with reference to Figure 2A, framing buffer 220 could be directed to divide a frame of information into two portions each of which are delivered to a different short-code modulator 210. Since the data is divided into two portions, it will occupy only one half of the frame duration when it is transmitted as the output  $s(t)$  in Figure 2C. Those skilled in the art will appreciate that if more idle time is needed, the framing buffer 220 can be instructed to divide the frame into three or more portions and send the frame portions to three or more short code modulators.

Another way in which additional codes can be used to create a compressed transmission mode is by modifying the short-code modulators 210 to selectively use either a primary code set M (for normal mode) or both the primary code set M and a secondary code set N (for compressed mode) to spread a modulated frame of data, as illustrated in Figure 4. Therein, the output of QPSK modulator 270 is input to mode control device 400. Mode control device 400 will decide whether normal transmission mode is appropriate for a particular frame of data received from QPSK modulator 270 or whether compressed mode is appropriate. The selection of normal or compressed mode transmission is made based upon the frequency at which mobile stations are required to make measurements on candidate handover channels. This frequency is determined based upon various factors known to those skilled in the art including, for example, the particular handover algorithm implemented within the system. If mode control device 400 determines that the received frame is to be transmitted using the normal mode, then all of the modulated bits for that frame are sent to block 280 where they are spread with one or more short codes supplied from short code generator 207 from a primary set M of short codes. The spread information sequence is then directed to adder 215 where it is added with similar sequences from other short-code modulators.

-13-

If, on the other hand, mode control device 400 determines that the frame is to be transmitted in compressed mode, then the bits are separated into two portions. A first portion is directed to block 280 wherein it is spread with one or more short codes supplied from set M, while the second portion is directed to block 402 wherein it is spread with one or more short codes received from short code generator 207 that is part of set N of secondary short-codes. Mode control device 400 can, for example, include a demultiplexer (not shown) for dividing the frame into two portions. For example, the first  $Z/2$  bits of an  $Z$  bit frame can be sent to block 402 and the last  $Z/2$  bits can be sent to block 280.

10 In this way, the compressed mode operates to spread the data over half of the frame period by using two codes. Those skilled in the art will appreciate that if more time is necessary for a mobile receiver to tune to other frequencies for measurement purposes, more codes could be used to further reduce the amount of time used to transmit a frame in the compressed mode.

15 The mobile station can be alerted to the compressed mode transmissions by way of a message provided on an associated control channel (e.g., the FACCH). This message can be transmitted using the primary code so that it is read first.

#### Idle Time Usage

20 Having created idle time for a mobile station's receiver, this idle time can be put to a number of advantageous uses. First, the receiver can use this time to scan other frequencies. The evaluation of carrier frequencies other than that which a mobile station is currently allocated for basing handover decisions is performed by using the compressed transmission mode in the downlink or uplink on a regular, predetermined basis. The mobile station performs measurements (e.g., carrier signal strength, pilot channel signal strength or bit error rate) on other carrier frequencies during the idle part of the compressed mode frame since during this time it is not required to listen to the base station to which it is currently linked. After switching to another frequency, the evaluation of that frequency can be carried out in any suitable fashion, e.g., such as disclosed in U.S. Patent No. 5,175,867 to Wejke et al. The

30

-14-

measurements are relayed to the network (through the currently linked base station or base stations), providing the information used for mobile assisted handover (MAHO).

The compressed mode is used intermittently at a rate determined by the mobile station or network in this exemplary embodiment, however, it may be preferably for the network to control the usage of compressed mode transmission for the downlink. The mobile station or network can determine the frequency of use of the compressed mode based on a variety of factors, such as the radio propagation conditions, the mobile station's speed and other interfering factors, the relative call density, and the proximity to cell boarders where handover is more likely to be needed. This information, in conjunction with the details of the measurement and handover algorithm used in the system, can be used by mode control device 400 to determine whether normal transmission mode or compressed transmission mode is to be selected.

Execution of a call handover can also be handled in the compressed mode in an exemplary embodiment of the present invention. Two different handover processes can be implemented using the idle time provided by the compressed mode, specifically seamless handover and soft handover. For the purposes of seamless handover, the mobile station's receiver can use the idle time to receive time slots from the new base station and use known synchronization techniques to synch to the new base station before the handover occurs, thereby speeding up the handover process by establishing communication with the new base station before dropping its connection with the old base station.

For soft handover, after deciding on handover to a new base station (or base stations) broadcasting on another carrier frequency, the compressed mode is entered. Communication with the old base station(s) is maintained while establishing a new link during the idle part of the frame. By maintaining the old link(s) after the new link is synchronized, communication to all base stations simultaneously can be employed (establishing macro-diversity on two or more carrier frequencies) making the scheme a make-before-break method. This scheme for soft inter-frequency handover can be used for both up- and down-links. The handover is completed by dropping the old link(s) and returning to normal mode transmission.

-15-

The duty cycle of the information part of a frame to the frame duration is controlled on a frame by frame basis. For execution of macro diversity between two frequencies, the same information is sent on both. Therefore, the duty cycle should be approximately 0.5. The compressed mode is used only intermittently and the  
5 normal mode (duty cycle = 1) is used the remainder of the time.

To control the transmission quality, the transmission power used during the information part of the frame is a function of the duty cycle, in an exemplary embodiment of the present invention. For example, the transmission power P can be determined as:

10

$$P = \frac{P_1}{\text{Duty Cycle}}$$

wherein  $P_1$  = power used for normal mode transmission. This increased power is  
15 needed to maintain transmission quality in the detector if the duty cycle is reduced. During the rest of the frame, i.e., the idle part, the power is turned off.

Alternatively, the base station of Figure 2C could be implemented such that each Downlink Traffic Information Processor 200A-200K has its own associated I-Q modulator, the outputs of which are summed together. In such an embodiment,  
20 power control would be inherent to the process.

The variation in total transmitted power from a base station can be smoothed by staggering (spreading in time) the deployment of compressed mode over a number of users in a certain time span. Since signal strength measurement on another carrier frequency is likely to require only a fraction of a frame, the duty cycle can be made  
25 by high, thereby reducing the variation in the power transmission.

The present invention's use of normal and compressed mode frames provides the ability to exploit the advantages of slotted transmission/reception in hierarchical cell structures while using DS-CDMA but without reducing the spreading ratio. This makes it possible to measure other carrier frequencies, thereby providing reliable  
30 handover decisions. Further, handover execution between carrier frequencies can be made seamless by establishing a new link before releasing the old one. This can be done without the need for two receivers.

-16-

The preceding description of the preferred embodiments are provided to enable any person skilled in the art to make and use the present invention. Various modifications to these embodiments will be readily apparent to those skilled in the art, and the principles described herein may be applied without departing from the scope and spirit of the present invention. Thus, the present invention is not limited to the disclosed embodiments, but is to be accorded the widest scope consistent with the claims below.

5

-17-

**WHAT IS CLAIMED IS:**

1. A method of code division multiple access in cellular communications, said method comprising the steps of:
  - impressing, in a normal mode, a frame of data to be transmitted on P signature sequences to produce a spread information signal;
  - impressing, in a compressed mode, said frame of data on Q signature sequences, wherein Q is greater than P, to produce a compressed spread information signal; and
  - transmitting one of said spread information signal and said compressed spread information signal;wherein a frame transmitted in said compressed mode includes a first part having a time duration less than said specific time duration and containing a complete coded information signal, and a second part during which said transmitter is idle.
2. A method according to claim 1, comprising a further step of increasing a transmission power level used during said first part of a compressed mode frame as a function of a duty cycle, defined as a ratio of the time duration of said first part to said specific time duration, of said compressed mode frame.
3. A method in accordance with claim 1, wherein no power is transmitted during said second part.
4. A method according to claim 1, wherein said compressed mode is used in one radio link without coordination with use of compressed mode in other radio links.
5. A method according to claim 2, further comprising the step of smoothing variations in total transmitted power by spreading in time use of compressed mode frames over a number of users in a determined time span.

-18-

6. A method according to claim 1, wherein a frequency of use of said compressed mode is based on one or a combination of one or more of the following factors: mobile station speed, interference load, relative call density, and proximity to cell boarders.
- 5 7. A method according to claim 1, wherein the compressed mode is used in a down-link.
8. A method according to claim 1, wherein the compressed mode is used  
10 in both a down-link and an up-link.
9. A method according to claim 1, wherein the compressed mode is used in an up-link.
- 15 10. A method according to claim 7, comprising the further step of performing measurements, in a mobile station, of carrier frequencies during said second part of a down-link compressed mode frame.
11. A method according to claim 8, comprising the further step of  
20 performing measurements, in a mobile station, of carrier frequencies during said second part of a down-link compressed mode frame.
12. A method according to claim 8, comprising the further step of utilizing  
25 said compressed mode when synchronizing on a new carrier frequency and establishing a new radio link during said second part of a compressed mode frame.

-19-

13. A method according to claim 12, comprising the further step of maintaining communication on both a presently used radio link and said new radio link, using said second part of a compressed mode frame for communicating on said new radio link.

5

14. A method according to claim 13, comprising the further step of dropping said presently used radio link and returning to a normal mode frame transmission, wherein a normal mode frame consists of only said coded information during the entirety of said specific time duration.

10

15. A method according to claim 11, comprising the further steps of utilizing said compressed mode when synchronizing communication on a new carrier frequency and establishing a new radio link during said second part of a compressed mode frame.

15

16. A method according to claim 15, comprising the further step of maintaining communication on both a presently used radio link and said new radio link using said second part of a compressed mode frame for communicating on said new radio link.

20

17. A method according to claim 16, comprising the further steps of dropping said presently used radio link and returning to normal mode frame transmission, wherein a normal mode frame consists of only said coded information during the entirety of said specific time duration.

25



-20-

18. A method according to claim 10, comprising the further step of performing handover evaluation using said measurements of a carrier frequency differing in frequency from a carrier frequency upon which a present link is established.

5

19. A method according to claim 11, comprising the further step of performing handover evaluation using said measurements of a carrier frequency differing in frequency from a carrier frequency upon which a present link is established.

10

20. A method according to claim 19, comprising the further steps of utilizing said compressed mode when synchronizing communication on a new carrier frequency and establishing a new link, based on said handover evaluation, during said second part of a compressed mode frame.

15

21. A method according to claim 20, comprising the further step of maintaining communication on both a presently used radio link and said new radio link, using said second part of a compressed mode frame for communicating on said new radio link.

20

22. A method according to claim 21, comprising the further steps of dropping said presently used radio link and returning to a normal mode frame transmission, wherein a normal mode frame consists of only said coded information during the entirety of said specific time duration.

25

-21-

23. A method according to claim 8, comprising the further step of utilizing said compressed mode when executing seamless handover by performing communications on a present radio link during said first part,

5 synchronizing communication on a new carrier frequency during said second part,

establishing a new radio link during said second part,  
dropping the present link when communication on said new radio link has been established, and

10 performing communications on the new radio link using a normal mode transmission, wherein a normal mode frame consists of only said coded information during the entirety of said specific time duration.

24. A method according to claim 11, comprising the further step of  
15 utilizing said compressed mode when executing seamless handover by performing communications on a present radio link during said first part,

synchronizing communications on a new carrier frequency during said second part,

20 establishing a new radio link during said second part,  
dropping the present link when communication on said new radio link has been established, and

performing communications on the new radio link using a normal mode transmission, wherein a normal mode frame consists of only said coded information  
25 during the entirety of said specific time duration.

-22-

25. A method according to claim 19, comprising the further steps of  
utilizing said compressed mode when executing seamless handover by  
performing communications on a present link during said first part,  
selecting a new carrier frequency based on said handover evaluation,  
5 synchronizing communication on said new carrier frequency during said  
second part,  
establishing a new radio link during said second part,  
dropping the present link when communication on said new radio link  
has been established, and  
10 performing communications on the new radio link using a normal mode  
transmission, wherein a normal mode frame consists of only said coded information  
during the entirety of said specific time duration.

26. An apparatus for transmitting information in a code division multiple  
15 access system transmitting information in frames of specific time duration, said  
apparatus comprising:  
means for spreading data in either a normal mode, wherein a normal  
mode frame includes said information spread using at least one spreading code, or a  
compressed mode wherein a frame of data is spread using said at least one spreading  
20 code and at least one additional spreading code; and wherein a compressed frame  
includes a first part of less than said specific time duration, said first part containing a  
complete information signal, and a second part;  
means for controlling which of said compressed mode and said normal  
mode is used in said spreading means; and  
25 means for transmitting an output of said encoding and framing means.

-23-

27. The apparatus according to claim 26, wherein said means for spreading further comprises:

5 a mode control device which operates in said normal mode to send all of the bits associated with a frame to a first spreading device that receives said at least one spreading code and which operates in said compressed mode to send a first portion of said bits associated with said data frame to said first spreading device and a second portion of said bits associated with said data frame to a second spreading device that receives said at least one additional spreading code.

10 28. The apparatus according to claim 26, wherein said mode control means selects a mode according to a measurement/handover algorithm.

29. The apparatus according to claim 26, wherein said apparatus forms part of a mobile station.

15 30. The apparatus according to claim 29, further comprising means for decoding channels with fixed spreading ratios.

20 31. The apparatus according to claim 26, wherein said apparatus is part of a base station.

32. The apparatus according to claim 28, wherein part of said algorithm is implemented in a mobile station and part of said algorithm is implemented in a base station.

25 33. The apparatus according to claim 26, wherein one of said apparatus is located in a mobile station and another of said apparatus is located in a base station.

-24-

34. The apparatus according to claim 26, wherein power supplied to said means for transmitting during a first part of a frame is controlled by said mode control device.

5        35. The apparatus according to claim 28, wherein a duty cycle of the compressed mode frame of said receiver means is controlled by said mode control device.

10       36. The apparatus according to claim 26, wherein said means for spreading includes:

          a frame buffer which organizes incoming information into frames and sends said normal mode frame to a modulator and which divides said compressed frame into at least two portions, each of which is sent to a respective modulator.

15       37. A CDMA transmitter comprising:  
          a channel coder for convolutionally encoding a frame of data bits;  
          a modulator for modulating said encoded frame of data bits;  
          a first spreading unit for receiving a first spreading code;  
          a second spreading unit for receiving a second spreading code; and  
20        a mode control device for passing said modulated, encoded frame of data bits to said first spreading unit when said transmitter is operated in a normal mode, and for passing a first portion of said encoded frame of data bits to said first spreading device and a second portion of said encoded frame of data bits to said second spreading device when said transmitter is operating in a compressed mode.

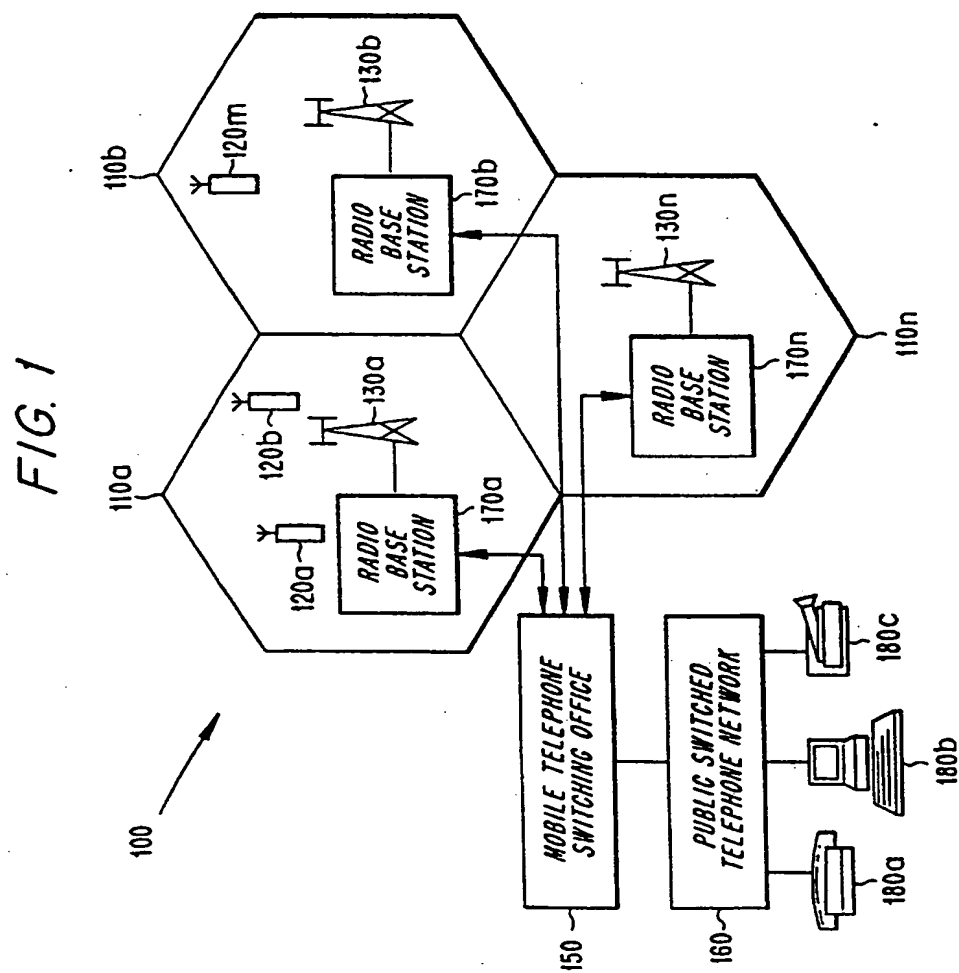


FIG. 3A

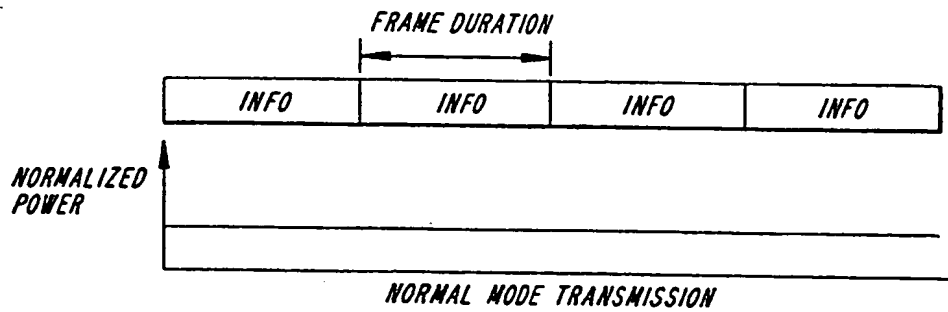


FIG. 3B

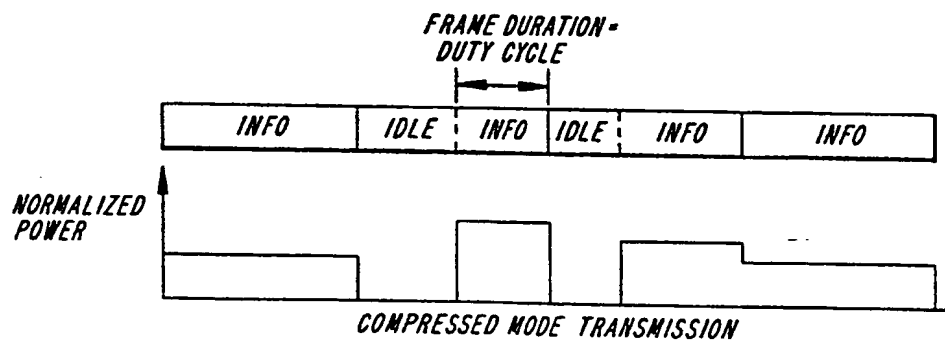


FIG. 4

